

## Summary Sheet

### Council Report:

Barnsley, Doncaster and Rotherham Joint Waste Board

### Title:

BDR Risk Register

### Is this a Key Decision and has it been included on the Forward Plan?:

No

### Strategic Director Approving Submission of the Report:

BDR Steering Committee

### Report Author(s):

*Lisbeth Baxter*

### Ward(s) Affected:

None

### Executive Summary:

This document presents the risks associated with the delivery of the BDR PFI Waste Facility contractual obligations now the facility is operational. The risks identified in the risk register are considered by the BDR Steering Committee every eight weeks.

### Recommendation:

**BDR Joint Waste Board is asked to consider and note the attached updated Risk Register, and**

**After consideration, advise of any further risks to be added to or deleted from the risk register.**

### List of Appendices Included:

BDR Risk Register (appendix 1)

**Background Papers:**

BDR Risk Register Scoring Guide

**Consideration by any other Council Committee, Scrutiny or Advisory Panel:**

The register has previously been considered by the BDR Steering Committee and the BDR Joint Waste Team.

**Council Approval Required:**

No

**Exempt from the Press and Public:**

No.

**Title:**  
BDR Risk Register

**1. Recommendations**

- BDR Joint Waste Board is asked to consider and note the attached updated Risk Register, and
- After consideration, advise of any further risks to be added to or deleted from the risk register

**2. Background**

- 2.1 The BDR Joint Waste Board last considered the risk register at its meeting on 30 September 2019..
- 2.2 There are 3 categories of risk Red, Amber, Green (RAG) representing varying degrees of exposure. Each category contains a range of risk scores and the table below shows how the RAG rating and score are derived.

|                |                           |                                 |            |               |            |                                |
|----------------|---------------------------|---------------------------------|------------|---------------|------------|--------------------------------|
| LIKELIHOOD (A) | Almost Certain<br>5       | 5                               | 10         | 15            | 20         | 25                             |
|                | Probable / Likely<br>4    | 4                               | 8          | 12            | 16         | 20                             |
|                | Possible<br>3             | 3                               | 6          | 9             | 12         | 15                             |
|                | Unlikely<br>2             | 2                               | 4          | 6             | 8          | 10                             |
|                | Very unlikely / Rare<br>1 | 1                               | 2          | 3             | 4          | 5                              |
|                |                           | Insignificant / Negligible<br>1 | Minor<br>2 | Moderate<br>3 | Major<br>4 | Critical/<br>Catastrophic<br>5 |
| IMPACT (B)     |                           |                                 |            |               |            |                                |

### 3. Key Issues and Risks

- 3.1 There are no new risks proposed for inclusion on the register. There are sixteen risks on the risk register.
- 3.2 There are currently no risks proposed for deletion in the register.
- 3.4 The risk areas under each of these headings are as in appendix 1 with their respective current and target RAG rating:
- 3.5 Previous reports have highlighted to BDR Joint Waste Board that there has been very little movement in current risk scores for risks in the period since the facility became operational.

| Current RAG Rating | 01/10/18 | 22/11/2018 | 3/12/2018 | 1/3/2019 | 30/9/2019 | 21/11/2019 |
|--------------------|----------|------------|-----------|----------|-----------|------------|
| Red                | 2        | 2          | 2         | 3        | 3         | 3          |
| Amber              | 9        | 5          | 5         | 5        | 4         | 4          |
| Green              | 4        | 8          | 8         | 8        | 9         | 9          |
| Total              | 15       | 15         | 15        | 16       | 16        | 16         |

| Target RAG Rating | 1/10/18 | 22/11/2018 | 3/12/2018 | 1/3/2019 | 30/9/2019 | 21/11/2019 |
|-------------------|---------|------------|-----------|----------|-----------|------------|
| Red               | 0       | 0          | 0         | 0        | 0         | 0          |
| Amber             | 7       | 5          | 4         | 5        | 5         | 5          |
| Green             | 8       | 10         | 11        | 11       | 11        | 11         |
| Total             | 15      | 15         | 15        | 16       | 16        | 16         |

- 3.6 Risk 7 Insurance risks remain the highest risks although insurance has been obtained for 2019/20. This is due to the hardening of the market and the requirement by the 3SE insurers for more mitigation equipment that has not as yet been installed.

### Monitoring

- 3.7 The BDR Risk Register is reviewed eight weekly by the BDR Steering Committee. Additionally, the BDR Manager reports to the Joint Waste Team and draws attention to issues to allow internal challenge.
- 4. Options considered and recommended proposal**
- 4.1 Not applicable.
- 5. Consultation**
- 5.1 The BDR Steering Committee has reviewed and agreed the attached register.
- 6. Timetable and Accountability for Implementing this Decision**
- 6.1 Not applicable.
- 7. Financial and Procurement Implications**
- 7.1 The risks contained in the register require ongoing management action. In some cases additional resources may be necessary to implement the relevant actions or mitigate risks. Any additional costs associated with the risks are reported to the BDR Steering Committee for consideration.
- 8. Legal Implications**
- 8.1 There are no direct legal implications arising from the risk register. Any actions taken by the BDR Manager in response to risks identified will take into account any specific legal implications.
- 9. Human Resources Implications**
- 9.1 There are no Human Resources implications associated with the proposals.
- 10. Implications for Children and Young People and Vulnerable Adults**
- 10.1 Not applicable
- 11. Equalities and Human Rights Implications**
- 11.1 Proposals for addressing individual risks within the register incorporate equalities and human rights considerations where appropriate.
- 12. Implications for Partners and Other Directorates**
- 12.1 The actions relating to any issues affecting partners are reflected in the risk register and accompanying risk mitigation action plans.
- 13. Risks and Mitigation**
- 13.1 The BDR Manager will review and update the risk register on a six-weekly basis, to ensure risks are able to be effectively monitored and managed.
- 14. Accountable Officer(s):**
- Lisbeth Baxter BDR Manager

**Approvals Obtained from:-**

**Strategic Director of Finance and Corporate Services: Not applicable**

**Director of Legal Services: Not applicable**

**Head of Procurement (if appropriate): Not Applicable**

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